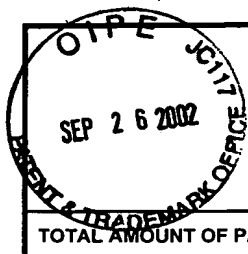


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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/060,086       |
| Filing Date          | January 31, 2002 |
| First Named Inventor | Sanjiv Garg      |
| Examiner Name        | To Be Assigned   |
| Group Art Unit       | 2183             |
| Attorney Docket No.  | 1397.0600007     |

TOTAL AMOUNT OF PAYMENT (\$)**2830.00**

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036  
 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other\*

\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     | \$740.00 |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1) (\$) **740.00**

### 2. EXTRA CLAIM FEES

|                         | Extra | Fee from below | Fee Paid |
|-------------------------|-------|----------------|----------|
| Total Claims 1 - 20** = | 0     | X              | =        |
| Indep. Claims 1 - 3** = | 0     | X              | =        |
| Multiple Dependent      |       |                | =        |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                         |
| 104            | 280             | 204            | 140             | Multiple dependent claim                                  |
| 108            | 84              | 209            | 42              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) **0.00**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee paid  |
|----------|----------|----------|----------|--|-----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  | \$130.00  |
| 127      | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |           |
| 139      | 130      | 139      | 130      | Non-English specification  |           |
| 147      | 2,520    | 147      | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |           |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |           |
| 113      | 1,840*   | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |           |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                     |           |
| 116      | 400      | 216      | 200      | Extension for reply within second month                                    |           |
| 117      | 920      | 217      | 460      | Extension for reply within third month                                     |           |
| 118      | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |           |
| 128      | 1,960    | 228      | 980      | Extension for reply within fifth month                                     | \$1960.00 |
| 119      | 320      | 219      | 160      | Notice of Appeal   |           |
| 120      | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |           |
| 121      | 280      | 221      | 140      | Request for oral hearing   |           |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |           |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidable   |           |
| 141      | 1,280    | 241      | 640      | Petition to revive - unintentional   |           |
| 142      | 1,280    | 242      | 640      | Utility issue fee (or reissue)   |           |
| 143      | 460      | 243      | 230      | Design issue fee   |           |
| 144      | 620      | 244      | 310      | Plant issue fee  |           |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner  |           |
| 123      | 130      | 123      | 130      | Petitions related to provisional applications                              |           |
| 126      | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |           |
| 581      | 40       | 481      | 40       | Recording each patent assignment per property (times number of properties) |           |
| 146      | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |           |
| 149      | 740      | 249      | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |           |
| 179      | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |           |
| 169      | 900      | 169      | 900      | Request for expedited examination of a design application                  |           |

Other fee (specify) :

Other fee (specify) :

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **2090.00**

## SUBMITTED BY

## Complete (if applicable)

|                   |                 |                                   |         |           |              |
|-------------------|-----------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Thomas C. Fiala | Registration No. (Attorney/Agent) | 43,610  | Telephone | 202-371-2600 |
| Signature         |                 | Date                              | 9/26/02 |           |              |

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# UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 26 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/060,086         | 09/31/2002          | Sanjiv Garg           | 1397.0600007           |

26111  
STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

CONFIRMATION NO. 6414

## FORMALITIES LETTER



\*OC000000007534629\*

Date Mailed: 02/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*Basic Fee, Decl, Add'l Fee April 26, 2002*

*STAT BAR Sept 26, 2002*

*A copy of this notice MUST be returned with the reply.*

*Sanjiv Garg*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

09/27/2002 TBESHAH1 00000011 10060086

01 FC:101 740.00 OP  
02 FC:105 130.00 OP

MAR - 4 2002

*MS*  
*DSF*  
*TCLF*

*3/4*  
*3/5*



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\*Admitted only in Virginia  
\*Admitted only in Texas  
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Federal Agencies

September 26, 2002

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

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Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 10/060,086; Filed: January 31, 2002  
For: **RISC Microprocessor Architecture Implementing Multiple Typed  
Register Sets**  
Inventors: Garg *et al.*  
Our Ref: 1397.0600007

Sir:

Transmitted herewith for appropriate action are the following documents:

1. PTO Fee Transmittal Form PTO/SB/17;
2. A Five Month Petition for Extension of Time;
3. A copy of Notice to File Missing Parts;
4. A copy of the executed Declaration;
5. A return postcard; and
6. Our Check No. **36792** for **2830.00** to cover:  
\$ **740.00** Filing Fee for Patent Application (37 C.F.R. § 1.16)  
\$ **130.00** Surcharge for late filing of Declaration (37 C.F.R. § 1.16)  
\$ **1960.00** A Five Month Petition for Extension of Time fee

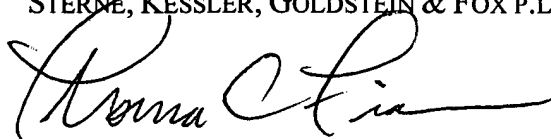
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
September 26, 2002  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Thomas C. Fiala  
Attorney for Applicants  
Registration No. 43,610

TCF:ayh  
Enclosures

::ODMA\MHODMA\SKGF\_DC1;59541;1  
SKGF Rev. 8/1/00 mac